



#6 Sector 8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant : Barrott et al.  
Serial No. : 09/897,723 ✓  
Filed : July 2, 2001  
Title : COMPUTERIZED FAMILY ADVISING SYSTEM AND  
METHOD FOR MAKING FUNERAL ARRANGEMENTS  
Docket No. : AUR 0014 IA  
Art Unit : 2165  
Confirmation No. : 1125

CERTIFICATE OF MAILING  
I hereby certify that this correspondence is being deposited with the United States Postal  
Service as first class mail in an envelope addressed to Assistant Commissioner for Patents,  
Washington, D C 20231, on October 25, 2001

William A. Jividen

Reg No 42,695

Assistant Commissioner for Patents  
Washington, DC 20231

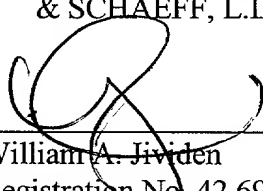
Sir or Madam:

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

In response to the Notice of Incomplete Reply (copy enclosed) dated October 10, 2001,  
enclosed herewith are substitute drawings in compliance with 37 CFR 1.84(e) for the above-  
identified patent application. Also enclosed herewith is a Petition for Extension of Time.

Respectfully submitted,

KILLWORTH, GOTTMAN, HAGAN  
& SCHAEFF, L.L.P.

By   
William A. Jividen  
Registration No. 42,695

One Dayton Centre  
One South Main Street, Suite 500  
Dayton, Ohio 45402-2023  
Telephone: (937) 223-2050  
Facsimile: (937) 223-0724

WAJ/kec

#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

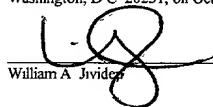


Application of

Applicant : Barrott et al.  
Serial No. : 09/897,723  
Filed : July 2, 2001  
Title : COMPUTERIZED FAMILY ADVISING SYSTEM AND  
METHOD FOR MAKING FUNERAL ARRANGEMENTS  
Docket No. : AUR 0014 IA  
Art Unit : 2165  
Confirmation No. : 1125

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D C 20231, on October 25, 2001

  
William A. Jividen

Reg No 42,695


Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

PETITION FOR EXTENSION OF TIME

Applicants hereby request a one month extension of time in which to respond to Notice of Incomplete Reply mailed October 10, 2001. Such a one month extension would make a response due on or before October 25, 2001. A Credit Card Payment Form (PTO-2038) in the amount of \$110.00 accompanies this request.

Respectfully submitted,  
KILLWORTH, GOTTMAN, HAGAN  
& SCHAEFF, L.L.P.

By   
William A. Jividen  
Registration No. 42,695

One Dayton Centre  
One South Main Street, Suite 500  
Dayton, Ohio 45402-2023  
Telephone: (937) 223-2050  
Facsimile: (937) 223-0724

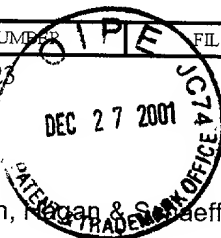
WAJ/kec



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,723	07/02/2001	John Christopher Barrott	AUR 0014 IA



Killworth, Gottman, Morgan & Sankoff, L.L.P.  
Suite 500  
One Dayton Centre  
Dayton, OH 45402-2023

CONFIRMATION NO. 1125

## FORMALITIES LETTER



\*OC00000006878797\*

Date Mailed: 10/10/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 08/30/2001 to the Notice to File Missing Parts (Notice) mailed 07/25/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number 09/897,723  
 Filing Date July 2, 2001  
 First Named Inventor John C. Barrott  
 Examiner Name  
 Group Art Unit 2165  
 Attorney Docket No. AUR 0014 IA

TOTAL AMOUNT OF PAYMENT (\$ 110.00

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
NumberDeposit  
Account  
Name
☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	110.00
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 110.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	William A. Jividen	Registration No. (Attorney/Agent)	42,695	Telephone	(937) 223-2050
Signature		Date	October 25, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2000.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Fees, Washington, DC 20231.

COPY